| | Application Form | | | | | | | | | А | pplic | | | | | | | | |
|---|--|---|---|--|---|---|---|--|---------------------------------------|--|---|--|--|--|--------------------------------------|---------|--------|-------------|--------|
| | (For Non-Individuals Only) | | | | | | | | | | | No. | | | | | | | |
| | Please fill in ENGLISH and in BLOCK L The information is sought under Prevention of Money L For existing Mutual Fund investors, the address(es) & contact detai | aunderii | ng Act, | 2002, | the | rules noti | fied there | eunder | r and | SEBI | 's qui | deline | s on A | nti N | /lone | Laur | nderir | ng. over | eaf) |
| | A. Identity Details (Please see guidelines A1 t | | | | | | | | | | | | | | | | | | |
| | 1. Name of Applicant (Please write complete name as per (| Certificat | e of Inc | orporat | ion / | Registratio | n; leaving | one b | ox bl | ank b | etweer | 12w | ords. Pl | ease | do no | t abbr | eviate | the | Name). |
| | | | | | | Whether | Listed or | | | | | | | | | | | | |
| 2 | 2. Date of Incorporation / Registration D / M Registration Number issued by Registering Author | | ΥΥ | Y | Y | YES [| | | nbol | | | | | | | | | | |
| n | | | ⊐ Partr | arshin | пι | Frust 🗖 F | | ПΗ | | | | Rank | ΠG | overn | mont | Rody | , | | |
| | 3. Status Please tick (✓) □ Company □ Body Corporate □ Partnership □ Trust □ FI □ HUF □ AOP □ Bank □ Government Body □ Non-Government Organisation □ Defence Establishment □ Body of Individuals □ Society □ Others (Please specify) | | | | | | | | | | | | | | | _ | | | |
| | 4. Permanent Account Number (PAN) (MANDATORY) | | | | | | | | | | | | | | | | | | |
| | B. Address Details (Please see guidelines B1 to B3 overleaf) | | | | | | | | | | | | | | | | | | |
| | 1. Address for Correspondence | 1 1 | 1 | I | | | | | I | | 1 | 1 | | 1 | | | 1 | 1 | 1 |
| | | | | | | | | | | | | | | | | | | | |
| - | City / Town / Village | | | | | | | | | | | P | ostal Co | de | | | | | |
| | State | | | | | | Country | | | | | | | | | | | | |
| | 2. Contact Details Contact Person Mr. / Ms. | | | | | | | | | | | | | | | | | | |
| | | | | | | | (100) | | (III) | | | | | | | | | | |
| | Tel. (Off.) (ISD) (STD) Mobile (ISD) (STD) | | | | | Tel. (Res.) Fax | (ISD) (ISD) | (ST | | | | | | | | | | | |
| | E-Mail Id. | | | | | | (1027 | (0. | | | | | | | | | | | |
| | 3. Permanent / Registered Address of Applicar | nt (in c | ase o | f Ove | rsea | s Addre | ss, Proo | of of . | Add | ress | is a | must | t) - M | and | atory | / for | FIIs | | |
| | | | | | | | | | | | | | | | | | | | |
| | City / Town / Village | | | | | | Cauatau | | | | | P | ostal Co | de | | | | | |
| | State | | | | | | Country | | | | | | | | | | | | |
| | 1. Gross Annual Income Details Please tick (🗸) | Rs. 2,50,00,001 to Rs. 10,00,00,000 □ Rs. 10,00,00,001 to Rs. 50,00,00,000 □ Rs. 50,00,00,001 and abov As applicable (Please tick (✓) against documents attached) Deed of Declaration □ Latest Bank Passbook / Latest Bank account statement Certificate of Incorporation □ Memorandum & Articles of Association □ Resolution of the Board of Directors Authorised Signatory List with specimen signatures Certificate of registration □ Partnership deed □ Documents evidencing authority to invest Authorised Signatory List with specimen signatures Certificate of registration □ Trust deed □ Authorised Signatory List with specimen signatures Proof of Existence / Constitution Document □ Documents evidencing authority to invest Authorised Signatory list with specimen signature Letter and Certificate of Registration issued by SEBI □ Authorised Signatory list with specimen signature Copy of Constitution / registration documents □ Documents evidencing authority to invest List of authorized signatories Documents evidencing authority to invest List of authorized signatories | | | | | | | | | | | | | | | | | |
| | Upto Rs. 50,00,000 🗌 Rs. 50,00,001 to Rs. 2,50,00,00 | nitted, | as ap Deed Certii Auth Certii Auth Certii Proof Auth Lette Copy | plicat of Decla ficate of orised Sin ficate of ficate of ficate of orised Sin orised Sin ficate of orised Sin ficate of Con ficate of Con | ble (F aration Incorpo gnatory registra gnatory registra ence / gnatory ertifica titutior | Please ti Latest oration y List with sy ation Par y List with sy lation Constitution y list with sp te of Registr n / registratio | ck (✓) a Bank Passb] Memorano becimen sigu thership deu becimen sigu Trust deed Document ecimen sigu ation issued | again book / L dum & J natures ed [] I natures A D c nature I by SEB | atest I Article Docum uthori | ocun Bank ac s of As nents en sed Sig nts evic Authori | nent: sociatio videncir natory lencing sed Sig | s atta stateme ng auth List wit author natory | ached ent Resolu ority to h specin ity to in list with | tion of invest nen sig vest specir | f the Bo | bard of | | | above. |
| | □ Upto Rs. 50,00,000 □ Rs. 50,00,001 to Rs. 2,50,00,00 2. Mandatory Certified Documents to be subm i. Hindu Undivided Family (HUF) ii. Company / Body Corporates iii. Partnership firms iv. Trusts, foundations, NGOs, Charitable Bodies, Clubs / Mutual Fund Schemes v. Unincorporated association or a body of individuals vi. Foreign Institutional Investors (FIIs) vii. Scheduled Commercial Banks and Registered Financial Institutions not incorporated under the Companies Act, 195 Regulatory Bodies / Army / Government Bodies / Any other bodies created / incorporated / registered under state or | hitted, | as ap Deed Certii Auth Certii Auth Certii Auth Certii Auth Certii Auth Certii Auth Certii Copy List c | plicat of Decla ficate of orised Sin ficate of ficate of ficate of orised Sin orised Sin ficate of orised Sin ficate of Con ficate of Con | ble (F aration Incorpo gnatory registra gnatory registra ence / gnatory ertifica titutior | Please ti Latest oration y List with sy ation Par y List with sy lation Constitution y list with sp te of Registr n / registratio | ck (✓) a Bank Passb] Memorano becimen sigu thership deu becimen sigu Trust deed Document ecimen sigu ation issued | again book / L dum & J natures ed [] I natures A D c nature I by SEB | atest I Article Docum uthori | ocun Bank ac s of As nents en sed Sig nts evic Authori | nent: sociatio videncir natory lencing sed Sig | s att statemon n ng auth List wit authon natory ing aut | ached ent Resolu ority to h specin ity to in list with | tion of invest nen sig vest specir invest | f the Ba Inature: men sig t | nature | Direct | Ors | |

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IMPORTANT NOTES – PLEASE READ BEFORE FILLING UP THE FORM

rejection

Participating Mutual Fund.

8.

- This KYC Application Form is for use by NON-INDIVIDUALS only. A separate form is provided for INDIVIDUALS.
- 2. This form is not an Investment Application Form, and is only meant for providing information and documents required for KYC compliance Applicant must be KYC compliant while investing with any SEBI registered Mutual Fund which is a Participating Mutual Fund for the purpose of the KYC compliance. Participating Mutual Fund means any SEBI registered Mutual Fund which has subscribed to the services of CDSL Ventures Limited (CVL) for compliance of the KYC procedure. A list of Participating Mutual Funds is available on the website of AMFI at www.amfiindia.com
- Subscription to Participating Mutual Fund Units may be made only after obtaining the KYC Acknowledgement at their respective designated Points of Acceptance / Investor Service Centres.
- Investors must attach their KYC Acknowledgement along with the Investment Application Form(s) / Transaction Slip(s) while investing for the first time in every folio. Applications Forms / Transaction Slips not accompanied by KYC Acknowledgement are liable to be rejected by the Participating Mutual Funds.
- Power of Attorney (PoA) Holder: Investors desirous of investing through 5 a PoA must note that the KYC requirements are mandatory for both the PoA issuer (i.e. Investor) and the Attorney (i.e. the holder of PoA), both of whom should obtain KYC compliance in their independent capacity and quote them while investing.
- Participating Mutual Funds require investors to provide their identity and address information, supported by documentary evidence for the "Know

Please fill the form in legible handwriting so as to avoid errors in your

application processing. Please do not overwrite. Corrections should be

made by canceling and re-writing, and such corrections should be countersigned by the applicant.

Applications incomplete in any respect and / or not accompanied by

Applications complete in all respects and carrying necessary documentary attachments should be submitted at the designated PoS. A complete list of PoS is available on the website of AMFI at **www.amfiindia.com**,

Name : This should match exactly with the name as mentioned in the PAN Card and other supporting documents; otherwise the application is liable to be rejected. If the PAN Card and other supporting document has a name by which the applicant has been known differently in the past, than the one provided in this Application Form, then requisite proof should be provided, e.g. Name Change Charge and the state of the state o

Please mention the date of incorporation or registration of your organisation. If your company is listed on the National Stock Exchange of India Limited, please mention the scrip symbol.

It is MANDATORY to mention your PAN in the space provided and attach

a self attested photocopy of the PAN Card. Please also submit your original PAN Card which will be returned across the counter after verification.

Address for Communication : Please provide here the address where you

wish to receive all communications sent by the Participating Mutual Funds with whom you invest. The address you give here will supercede existing information in the records of the Participating Mutual Fund / Registrars

and Transfer Agent to the Participating Mutual Fund. This address should match with the address in the 'Proof-of-Address' submitted as supporting document; otherwise the KYC Application Form is liable to be rejected Contact Details: Please provide the Contact Person's Name / Telephone /

E-mail contact details for the Participating Mutual Fund to communicate with you. The contact details given by you here will not supercede existing information in the records of the Participating Mutual Fund / Registrars and Transfer Agent to the Participating Mutual Fund. You will have to independently communicate the same to them in case of any change(s).

Permanent Address / Registered Address / Overseas Address: Flls / other entities must quote their Permanent Overseas Address supported by required documents duly certified.

Please tick in the appropriate box wherever applicable

required documents are liable to be rejected.

www.cdslindia.com and Mutual Fund websites.

General

3.

4

5.

4.

LETTERS

A. Identity Details

B. Address Details

Name Change Certificate.

Please indicate the Status as applicable.

GUIDELINES FOR FILLING UP THE KYC APPLICATION FORM

C. Other Details The Application Form should be completed in ENGLISH and in BLOCK

- Gross Annual Income details: Please tick the applicable box indicating your Gross Annual Income (including both taxable and tax-free incomes)
 - All documents indicated here are MANDATORY. Please ensure that you submit the documents as under:

Your Client" requirements laid down by the Prevention of Money Laundering Act, 2002 (PMLA). They reserve the right to seek any additional information / documentation in terms of the PMLA at any point of time.

omissions on the part of the applicant / Unit holders in the KYC Application

Form. Documents received in support of KYC requirements will be verified

at the designated "Points of Service" (PoS) on a best effort basis. However acceptance and processing of the KYC Application Form is subject to independent verification by CVL. In the event of any KYC Application

Form being rejected for lack of information / deficiency / insufficiency of mandatory documentation, etc. CVL will inform the applicant of such

The Participating Mutual Fund, its Asset Management Company (AMC),

Trustee Company and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of

The provided and the provided provided and the provided p

Once the investor is KYC compliant, it will be required to intimate its KYC

details to all the Participating Mutual Funds with whom it has investments.

The KYC Compliance will be deemed to have been completed for the investor in all folios held by it (identified by its PAN) in the records of the

reporting the same to Financial Intelligence Unit - India (FIU-IND)

7. Participating Mutual Funds/ CVL will not be liable for any errors or

- Original Documents + Self-attested photocopies (Originals will be returned over-the-counter after verification) OR
- True Copies attested by Company Secretary / Director / Notary Public / Gazetted Officer / Manager of a Scheduled Commercial Bank (Name, Designation and Seal should be affixed on the copy). Unattested photocopies of an original document or of notarised copies are not . acceptable
- If the above documents including attestation / certifications are in regional language or foreign language then the same must be translated into English for submission.

After you have completed filling up the Form, please submit the same along with the entire set of supporting documents to any designated PoS. Please also submit a photocopy of the Form for acknowledgement purposes, which you can retain for your records. Other important notes, after the KYC Acknowledgement is issued to you:

- Please preserve the document from CVL which confirms your KYC compliance. Please attach photocopies of this document when you invest 1. for the first time in every folio, in any Participating Mutual Fund.
- If you observe any error in the details as captured by CVL, you are requested to approach the nearest designated PoS.
- If you are already holding investments in any Participating Mutual Fund, 3. please provide a copy of your KYC acknowledgement, giving details of your folio / account numbers to such Funds or their Registrars. Please note that your signature on the KYC Application Form should match with that on the records of the Participating Mutual Fund.
- In respect of new investors, signature on the Application Form for investing / transacting in Participating Mutual Fund should match with that on this KYC Application Form.
- After allotment of KYC compliance, if there are any changes in an Applicant's details such as Name, Address, Status, Income bracket, Occupation or Signature, the change should be registered with CVL through a designated PoS expeditiously, by using the KYC Details Change form. It should be noted that only after such registration will the change be reflected in the Participating Mutual Fund's records. Particularly with respect to change of address, investors should register such change giving 10 days time for the subsequent communications from Participating Mutual Funds to reach them at their new address. Original / Attested copies of documents supporting the change will be required to be submitted together with the KYC Details Change Form. For any other investment approach the participating Mutual Funds or their Registrars.

CHECKLIST

(Before submitting this Form, please go through the following checklist)

- Please ensure that the form is completed in all respects and signed by the authorised signatories. 1.
- 2. Foreign Institutional Investors must mention their overseas address in B(2).
- Please attach all required documents. These should be either original + photocopies or attested / notarised photocopies 3
- Please submit a photocopy of the duly completed KYC Application Form.

For assistance or enquiries please approach the Point of Service where you had submitted your KYC Application Form.